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Case 13-11346 Document 0051263 Filed 05/22/14 Page 1 of 1

U.S. DISTRICT COURT
NORTHERN DISTRICT OF TEXAS
FILED

MAY 22 2014

UNITED STATES COURT OF APPEALS
FOR THE FIFTH CIRCUIT

CLERK, U.S. DISTRICT COURT
By _____ Deputy

No. 13-11346

D.C. Docket No. 4:13-MC-14

United States Court of Appeals
Fifth Circuit

FILED

March 27, 2014

Lyle W. Cayce
Clerk

SECURITIES AND EXCHANGE COMMISSION,

Petitioner - Appellee

v.

ANDREW FARMER,

Respondent - Appellant

Appeal from the United States District Court for the
Northern District of Texas, Fort Worth

Before DAVIS, BARKSDALE, and ELROD, Circuit Judges.

J U D G M E N T

This cause was considered on the record on appeal and was argued by counsel.

It is ordered and adjudged that the judgment of the District Court is vacated, and the cause is remanded to the District Court for further proceedings in accordance with the opinion of this Court.

ISSUED AS MANDATE: 20 MAY 2014

A True Copy
Attest

Clerk, U.S. Court of Appeals, Fifth Circuit

By: _____

Deputy

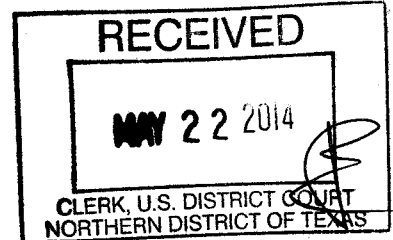
New Orleans, Louisiana 20 MAY 2014

ORIGINAL

United States Court of Appeals

FIFTH CIRCUIT
OFFICE OF THE CLERK

LYLE W. CAYCE
CLERK



TEL. 504-310-7700
600 S. MAESTRI PLACE
NEW ORLEANS, LA 70130

May 20, 2014

Ms. Karen S. Mitchell
Northern District of Texas, Fort Worth
United States District Court
501 W. 10th Street
Room 310
Fort Worth, TX 76102

No. 13-11346 SEC v. Andrew Farmer
USDC No. 4:13-MC-14

Dear Ms. Mitchell,

Enclosed is a copy of the judgment issued as the mandate and a copy of the court's opinion.

Sincerely,

LYLE W. CAYCE, Clerk

Renee McDonough

By: _____
Renee S. McDonough, Deputy Clerk
504-310-7673

cc w/encl:

Mr. Bret E. Helmer
Mr. David Keith Isaak
Mr. Christopher Paik
Mr. Steven Rocket Rosen